

Improving the accuracy of optical surface topography measuring instruments

Professor Richard Leach FInstP FloN Engineering Measurement Division

INTDS/TFW, Mainz, Germany August 2012

About NPL ...

- The UK's national standards laboratory
- Founded in 1900
- World leading National Measurement Institute (NMI)
- 600+ specialists in Measurement Science
- State-of-the-art standards facilities
- The heart of the UK's National Measurement System to support business and society
- Experts in Knowledge Transfer
- A very successful GOCO
- Managed by Serco since 1995

36,000 m² national laboratory



Most sophisticated measurement science building in the world

Talk structure



- Context
- An important concept
- Traceability for areal surface topography
- Optical measurement of surface topography
- Linear systems theory approach to calibration
- Removal of systematic errors
- Future work



An important concept – traceability



"property of the result of a measurement or the value of a standard whereby it can be related to stated references, usually national or international standards, through an unbroken chain of comparisons all having stated uncertainties"



Iodine-Stabilised He-Ne laser

Traceability – surface metrology







Leach R K et al (2009) Meas. Sci. Technol. 20 125102

Areal surface topography measuring instruments

Tactile and non-contact instruments

- Tactile contact stylus instruments
- Non-contact optical instruments





Where are ISO areal surface topography standards?



 ISO 25178 parts 2 (parameters), 3 (specification operators), 6 (instruments), 601/701 (stylus instruments), 602 (chromatic confocal) are published

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- Then there are parts 602, 603, 604, 605, 606, 607, ... in progress and unless we stop this proliferation, there will be many more!
- NPL and Zygo is drafting one part 600 that will cover the metrological characteristics and calibration of all instruments
- I then propose we make the 60X standards technical specifications

How do we calibrate areal instruments?



 Draft ISO standards will cover the calibration of certain *metrological characteristics*. These are currently:

Noise

Residual Flatness

Straightness, squareness and linearity of scales Resolution (lateral period limit)

- These will (hopefully) be common across all areal instruments
- Also need software measurement standards

Giusca *et al.* 2012 Calibration of areal surface topography measuring instruments. Parts 1 and 2 (2012) *Meas. Sci. Technol.* **23** 035008 and 065005



 Lateral resolution simply given by the Rayleigh or Sparrow limits (or Abbe depending on coherence)



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- The term "lateral period limit" is proposed for the 3D resolution or the ability to resolve two objects on a surface plus measure the correct height
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- But, much better off using the optical transfer function as this does not have the height restriction
- Also, watch out for new definitions of optical resolution!

The "Areal Bento Box"



- NPL (with Rubert) producing a box of artefacts to allow calibration according to ISO 25178-600
- Artefacts manufacture using e-beam (KIT) and FIB (University of Surrey) writing, diamond turning (Bremen University) and electroform replication
- Manufacturing trials on plastic replication (with CRANN and University of Bradford)
- Contains: optical flat, step heights, lateral grids, star patterns, sphere on plane, deterministic
- Now publishing good practice guides for stylus, confocal, CSI and focus-variation



So is that the job complete?



Absolutely not!

- We can measure the scales (and construct uncertainty budgets) but does this mean we can measure a real surface?
- Surfaces are a complex combination of spatial frequencies (slopes) – we need to calibrate the spatial frequency response of the instruments
- But first some words about optical instruments

The mechanical surface





The optical surface



- ISO 25178-3 "the electromagnetic surface"
- What does this mean? Is it the same for an interferometer and a scattering instrument?
- Issues wavelength dependence (dispersion), spot size, resolution/diffraction (of stars and of structures), material effects, multiple scattering....
- Often we assume linear conditions (Born approximation) when they do not always apply

The optical surface



- "The boundary between two materials with different optical properties"
- Optical properties:
 - ϵ Permittivity
 - μ Permeability
 - σ Conductivity
- The change in optical properties governs the amplitude and phase of the light reflected and transmitted by the boundary according to Maxwell's Equations

Optical example – coherence scanning interferometer (CSI)





Step Interferogram



10 µm silicon step (NA=0.55, 600 – 700 nm)

The information present in the interferogram is related to the step height by estimating the position of peak visibility (called coherence scanning interferometry (CSI) mode), and/or the phase of the interference fringes (called phase shifting interferometry (PSI) mode).

Some CSI limitations



- Edge artefacts The Bat Wing Effect
- Ghost steps dispersion effects
- Material effects
- Multiple scattering/surface roughness measurement

Gao F, Leach R K, Petzing J, Coupland M (2008) Surface measurement errors using commercial scanning white light interferometers *Meas. Sci. Technol.* **19**

CSI measurement errors



CSI shows problems measuring surface gradients.



 CSI measurement of sinusoidal grating of 8 µm pitch and 466 nm amplitude (peak to peak)

Focusing





Calibration – a two step process



1. Calibrate machine axes XYZ

- Step heights
- Lateral standards

Giusca C L, Leach R K, Helery F 2012 Calibration of the scales of areal surface topography measuring instruments: part 2. Amplification, linearity and squareness *Meas. Sci. Technol.* **23**

2. Point spread measurement

Equivalent to "probe sphericity" - like a CMM

Adjustment

- Piezo look-up tables
- Distortion compensation
- Fringe pattern filtering

Foil model: space domain





$Foil \otimes PSF = Fringes$

Foil model: frequency domain









PSF (real part) for an ideal CSI

TF(real part) for an ideal CSI

Calibrating lateral scale of CCI





Grid pattern for calibrating lateral scales (real)

One grid block (real)

Distortion





Distortion – 3rd order





<u>Note:</u> This is the distortion in the raw camera output i.e. the raw fringe data before any correction is applied










Effects of distortion (XYZ)





PSF measurement



- Requires sloped artefact
- Foil model of the artefact must cover the spectral region
- Single measurement preferred
- Spheres!
- Also ball measurement is directly related to lateral resolution

 $\left(f_{max} = \frac{\sin\theta_{max}}{\lambda_{mean}}\right)$

Mercury spheres



- Preliminary measurements were taken using mercury droplets.
 - Mercury droplets of different sizes can be made easily
 - Spherical due to surface tension (pressure ≈ 1 atm.)
 - Surfaces are usually smooth
 - Unknown radius





Measurement of radius



Interferogram of a sphere using quasi-monochromatic CSI (absolute part)

Measurement of radius





The radius was found to be 27.45 μm

New spheres



- NIST traceable silica microspheres
- Diameter 53.0 \pm 1.0 μ m
- Alumina also available



Corrected fringe pattern and spectrum





Corresponding spectrum

Corrected fringes

CSI before and after





Repeatability















Conclusions



 Current CCI instrumentation (50X) exhibits substantial systematic errors

XY distortion (circa 50 nm System 1, 400 nm System 2) Focus (circa 50+ nm both) Mirau objective problems (circa 50 nm both)

- We have devised methods of adjustment to compensate XY de-warping Fringe (inverse) filtering
- It is generally accepted that CSI has nanometre resolution.
 With these methods we can demonstrate nanometre accuracy.



 Solve 3D inverse problem for CSI (done), confocal (on-going) and focus-variation (not started)



- Solve 3D inverse problem for CSI (done), confocal (on-going) and focus-variation (not started)
- Develop calibration methods based on TF



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- Solve 3D inverse problem for CSI (done), confocal (on-going) and focus-variation (not started)
- Develop calibration methods based on TF
- Develop method for sphere form measurement
- Investigate effects when there are multiple reflections, i.e. linear approximations do not apply
- Apply methods for ISO standardization

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- 5 6 December 2012
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- www.sfs2012.euspen.eu/

Met & Props 2013



- Metrology & Properties of Engineering Surfaces
- 17 21 June 2013
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P.N.Lebedev Physical Institute of the Russian Academy of Sciences

4th Target Fabrication Workshop Mainz, Germany 19-23 August 2012



X-Ray Tomography Method for Characterization of Aerogel Targets with Density Gradient

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Low-density materials

in laser targets are interesting for

- Increased light absorption in low-density layer
- Smoothing of laser light on target surface
- Among them: Density gradient targets or stepwise-density layers were considered in experimental and theoretical papers on astrophysics modelling, equation-of-state and shock wave dynamics research.
- We consider below polymer aerogel with density gradient synthesized in University of St. Andrews

One-step Synthesis of Gradient Density Polystyrene Aerogels



1. Small diameter tubes placed in solvent, monomer and Lewis acid catalyst solution



2. After polymerisation completed, tubes placed in methanol for solvent exchange



 After solvent exchange is complete, samples are transferred into a **Polaron**® Critical Point Dryer, for solvent removal



22 August 2012

Skyscan 1074 Micro CT scanner



X-ray tube window

- U=20-40 kV
- A_{max}=1000 μA
- Ø = 100 µm

CCD-camera looking at phosphor screen under thin Al filter

- Pixel size 21 µm
- 12 bit depth



Rotating table for samples. Minimum rotating angle – 0.9° (400 steps per 1 turn)

Polystyrene foam sample, mounted on Al washer

22 August 2012

Density measurements





$$I = I_0 \cdot e^{-\mu \cdot t}$$

 $\mu \ [\mu m^{-1}] - absorption ratio$ $t = \frac{\rho}{\rho_{solid}} \cdot x$ $x \ [\mu m] - absorption thickness$

22 August 2012

Calibration sample



Density profile, calibrating sample 800mg/cc



Height from the bottom of frame	Thickness measured, um +-20
200 px (4 mm)	955
250 px (5 mm)	955
300 px (6 mm)	955
350 px (7 mm)	955
400 px (8 mm)	955
450 px (9 mm)	955

Sample #1 ("typical")





Height from the	Thickness measured,
bottom of frame	um +-20
200 px (4 mm)	1105
250 px (5 mm)	1040
300 px (6 mm)	955
350 px (7 mm)	920
400 px (8 mm)	875
450 px (9 mm)	875

Sample #1 ("typical")





Height from the bottom of frame	Thickness measured, um +-20
200 px (4 mm)	1105
250 px (5 mm)	1040
300 px (6 mm)	955
350 px (7 mm)	920
400 px (8 mm)	875
450 px (9 mm)	875

Sample #2 ("fluctuating")





Height from the bottom of frame	Thickness measured, μm +-20
100 px (2 mm)	895
150 px (3 mm)	840
200 px (4 mm)	895
250 px (5 mm)	870
300 px (6 mm)	830
350 px (7 mm)	765

Sample #3 ("good")





Height from the	Thickness measured,
bottom of frame	μm +-20
100 px (2 mm)	915
150 px (3 mm)	895
200 px (4 mm)	835
250 px (5 mm)	770
300 px (6 mm)	750
350 px (7 mm)	730

Sample #3 ("good")





Height from the bottom of frame	Thickness measured, μm +-20
100 px (2 mm)	915
150 px (3 mm)	895
200 px (4 mm)	835
250 px (5 mm)	770
300 px (6 mm)	750
350 px (7 mm)	730

Characterization results

- The method is capable of observation of polymer aerogel samples (mm-scale)
- Measurements proved the density gradient to be produced by proposed synthesis technique
- Dry samples were measured to demonstrate up to 400 mg/cm³/mm gradient along the cylinder axis
- Good samples have uniform cross-sections all over the height

Further development is needed for repeatability and to reach desired gradients

Wet silica gel density gradient formed and observed during synthesis





9 min

18 min

40 min

99 min

125 min

260 min

Sample from plastic tube



X-ray image, green areas are excluded from analysis



Usual view of silicagel growth on x-ray tomography after reconstruction

Dependence of growing SiO₂ concentration via height



Dependences of count tomography numbers on height of the tube during gel growth at various time for two starting concentrations: left – 0.167 basic solution, right – 0.125 basic solution. (calibration depends on time)

Referrence: *X-ray tomography of growing silica gel with a density gradient.* N. Borisenko et al. Fusion Science and Technology, Vol 55, May 2009

Method can be used for

- Non-dried samples measurements
- Real time monitoring during production
- Real time production technology development
- Samples characterization after development after critical point drying
- ✓ This method is non-destructive. Samples can be used after characterization (as opposed to SEM).

Disadvantages:

- -problems with extra-low density
- -foams of low-Z elements are too transparent
Conclusion

- One step aerogels with density gradient are demonstrated
- Density gradient is up to 400 mg/cm³/mm
- Further material development could be supported by x-ray microtomography

Thank you for your attention

Time Domain Nuclear Magnetic Resonance (TD-NMR) as a Novel Tool for the Characterization of Low Density Porous Materials.

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- ² Department of Pure & Applied Chemistry, University of Strathclyde Thomas Graham Building, 295 Cathedral Street, Glasgow, G1 1XL, UK.
 - ³ Atomic Weapons Establishment, Reading, RG7 6DP, UK.

Research aims

• Synthesis of low density polymeric materials for possible use in plasma physics experiments.



• Characterisation of materials; understanding the structure of the synthesised materials.

Overview

- What is relaxation?
 - NMR relaxation
 - Background of NMR relaxation
- Relaxation;
 - Spin-lattice relaxation.
 - Spin-spin relaxation.
 - Relaxation under Radio Frequency (RF).



- Motions in polymers and relaxation
- Examples
- Summary

Time Domain-Nuclear Magnetic Resonance (TD-NMR)

- TD-NMR, focuses on the NMR signal in the time domainthere is no spectrum- to obtain relaxation times.
- 0.47 T (20 MHz, ¹H) magnet with variable temperature system (-100°C to +200°C).
- Solid and liquid samples.
- ¹H nuclei observed (*I* = ¹/₂).



Figure 1. TD-NMR system in St Andrews, Scotland.

What is relaxation?

- Investigating the effect of a perturbed system back to an equilibrium state.
- More than one way to relax;
 - Dielectric relaxation (related to electrical conductivity of a material).
 - Structural relaxation of an amorphous material (thermally induced molecular motion).
 - NMR (magnetic) relaxation (intrinsic spin of magnetic nuclei).
- NMR relaxation
 - Restoration of perturbed spins (magnetisation offset vector) back to an equilibrium state.

Relaxation; principles

The magnetisation offset vector, M₀, (bulk magnetisation) arises from the population difference of up and down spin orientations, given by the Boltzmann distribution.



Figure 2. Nuclear spins outside magnetic field (left) and inside magnetic field (right).

Figure 3. Magnetisation offset vector aligned to external magnetic field.

Relaxation: NMR relaxation processes

- T₁, spin-lattice, longitudinal relaxation. Magnetisation offset vector returning to align with the external magnetic field, the z-axis.
 Frequency of motions in megahertz (MHz).
- T₂, spin-spin, transverse relaxation. Magnetisation offset vector loses phase coherency in the xy plane. Process occurs alongside T₁ relaxation. Frequency of motions in hundreds of hertz.
- T_{1ρ}, relaxation under RF, relaxation in the rotating frame. Similar to T₂ relaxation, except relaxation is under spin lock. Frequency of motions in kilohertz (kHz).
- $T_{1\rho}$ relaxation is strictly not a NMR relaxation process, but an experiment. However, in rigid materials it becomes useful.

Spin-lattice relaxation

- T_1 (spin-lattice) relaxation. Magnetisation offset vector realigning with direction of magnetic field, the z axis.
- 'Lattice' refers to surrounding system. Energy from pulse dissipated into lattice, dependent on molecular motion and dipolar interaction.



Spin-spin relaxation

- T₂ relaxation is lose of phase coherency in the xy plane. Identification of distinct regions (crystalline and amorphous); these regions are polarised by the RF pulse with different efficiency, thus when they relax, separation occurs.
- Relaxation of separate regions happens at the same time, but at different speeds.



Relaxation under RF

 T_{1ρ}, relaxation under RF, uses a RF pulse to 'spin-lock' magnetisation. The magnetisation then dephases in a similar manner to transverse relaxation, loss of phase coherency in the xy plane.



Relaxation; Summary

- Relaxation times vary as molecular motion 10 Slow increases/decreases. Τ, T₁ relaxation fastest T/s 1 when frequency of molecular motion T₂ equals resonance Fast frequency. 0.1 10-12 10-10 10-8 Tc/S Slow Fast
- T₂ relaxation increases as molecular motion increases.

Figure 4. Difference in T_1 and T_2 relaxation with molecular motion

Motions in polymers

• Non-destructive technique.



STYRENE

 Use in combination with other characterisation techniques enhance understanding of material.



Figure 5. Rotations in a styrene polymer.

Motions in polymers



Figure 6. Cartoon representation of part of a semicrystalline polymer.

What about totally amorphous materials?

Motions in polymers

- Crystalline/amorphous vs order/disorder?
 - Regions of increased order in an amorphous polymer?
 - Can this be observed by TD-NMR? Is there a difference between crystalline/amorphous and order/disorder relaxation?
- Thermal transitions?
 - Can anything be learnt from TD-NMR that, for example, Differential Scanning Caliometry (DSC) does not show?
- Can we observe differences in polymers from the same monomer system, but of different molar ratio?

Example; Polyvinyldiene fluoride (PVDF)

- PVDF is a well characterised semicrystalline polymer (40% crystalline).
- T₁ relaxation dependent
 an molecular motion as a function of temperature.



Figure 7. T₁ relaxation profile of PVDF from -50°C to +180°C

• Relaxation profile similar to theory.

Example PVDF

- PVDF shows two distinct regions; attributed to amorphous and crystalline regions.
- Two dips in trends due to the glass transition (T_g) and pre-melt phase transitions (amorphous and crystalline respectively).
- Changes in motion pinpointed to particular phase.



Figure 8. T ₁₀	, relaxation	profile of	PVDF from	-50°C to +	-180°C
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Low density polymer materials

 RAFT S-co-MMA polyHIPEs: T₁ and T₂ times change as method of synthesis changes. RAFT polyHIPEs vs free-radical polymerised (FRP) polyHIPEs.

Table 1. T_1 and T_2 relaxation times for PS- <i>co</i> -MMA low density foa	ms.
--	-----

Synthesis method	T ₁ (ms)	Τ _{1ρ} (ms)		T ₂ (ms)
RAFT	320±9	0.7 ± 0.2	6.6 ± 0.2	8.3 x 10 ⁻³ ± 8 x 10 ⁻⁵
FRP	449±3	0.7 ± 0.2	6.3 ± 0.3	9.9 x 10 ⁻³ ± 2 x 10 ⁻⁵

S-co-DVB polyHIPEs: different T₁ time with different molar ratio.

Table 2. T₁ relaxation times for PS-*co*-DVB low density foams.

	Polymer recipe S:DVB	T ₁ (ms)
	2:1	385±10
C. Musgrave, TFW4, 2012	1:2	277±9

Summary

- Relaxation processes are dependent on molecular motion (temperature and molecular weight).
- Each relaxation process probes different molecular motion due to different frequency of motion seen by each relaxation process.
- Together, the relaxation processes can tell us more about the behavior of the material.
- TD-NMR has shown that ordered/disordered regions, in the highly characterised PVDF. Phase transitions seen by T₁₀.
- S-co-DVB polyHIPE show differences in fast motion by T₁ relaxation.
- S-co-MMA polyHIPE differences in T₁ and T₂ relaxation time based on synthetic method attributed to molecular structure and weight.

Future work

- Analysis of T_{1ρ} and T₂ relaxation times for varied molar ratio Sco-DVB polyHIPEs.
 - Can we see thermal transitions? If so, does this change as a function of the change in molar ratio? Comparison to other characterisation techniques?
- Continued analysis of S-co-MMA polyHIPEs synthesised by RAFT and FRP.
 - T_{1p} analysis; does this differ from T_2 relaxation?
- Aerogel analysis; comparison of materials of the same composition synthesised by different methods.

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- To Dr Philip Wormald (St Andrews) for producing the T_1 and $T_{1\rho}$ graphs for PVDF.
- Thank you for listening!



Characterisation Challenges Facing Aerogel and Foam Manufacture for Laser Plasma Physics Experiments

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Introduction

General introduction to Solgel and Aerogels

- Control of gelation
- CO₂ exchange route
- Direct solvent extraction
- Characterisation
 - Composition
 - Pore size
 - Density
 - Moisture analysis
- Summary and Conclusions





Control of gelation

- Base catalysed RO RO RO -or 🛁 Ro-OH $+ RO^{-}$ Colloidal particles OR sticking together H_3O^+ OR OR $+ H_2O$ O⁺RH RO OR Acid catalysed RO Interconnecting
 - polymeric strands.





Monomer, dimers and trimers and polymers

- When two monomers react together they form a dimer and all six ligands are equivalent.
- Note, with a trimer there are now 2 ligand groups which are not equally exposed.





Phase diagram and pressure chamber for for CO₂ extraction





CPD Process









Characterisation (foams in general)

- Composition (carbon content)
- Pore size
- Density
 - Moisture



Elemental analysis

TEST REPORT								
WAS: 19385		San	Sample ID: Silica aerogel		gel S	Sample Type: Solid		
Technique	Element Unit	S		Result			Date	
SOP 31 Semi-Quant	Carbon %wt/v Hydrogen Nitrogen itative Scan ▼ S	wt 60P 64		5.42 1.15 0.00			16-Jun-11	I
ICP:AI As Bi Ca Ce Fe Ge Pb	ppm wt/wt	140 <20 60 20 <20 30 20 <30 30	<10 <20 60 <10 <20 20 20 <30 30	ICP: Rb Rh Sb Se Sn Te TI U	ppm wt/wt	20 <20 <100 <30 <50 50 <30	30 <20 <100 <30 <100 50 <30	23-Jun-11

During the Semi-quantitative scan the sample was analysed for:-

Ag,Al,As,Au,Bi, Ba,Be,Ca,Cd,Ce,Co,Cr,Cu,Dy,Er,Eu,Fe,Ga,Gd,Ge,Hf,Hg,Ho,Ir,K,La,Li,Lu,Mg,Mn, Mo,Na, Nb,Nd,Ni,Os,P,Pb,Pd,Pr,Pt,Rb,Re,Rh,Ru,Sb,Sc,Se,Sm,Sn,Sr,Ta,Tb,Te,Th,Ti,TI,Tm,U,V,W,Y,Yb,Zn,Zr. Unless otherwise stated, all readings above 10 ppm have been reported although to get a true result they need individual analyses.



Pore size

- Not routinely measured for aerogels.
- Nitrogen adsorption (BET surface area analysis) is a standard technique for measuring high surface are materials and pore sizes and shapes.







Density

- Has a few hidden features.
- The simplest method is to measure the mass and the dimensions, calculate the volume and hence density.
- Limitations
 - Errors in these measurement manifest in errors in the density
 - Cannot account for density gradients
 - Change in the parameters will change the density



Measurement error

- For mass measurement the situation isn't too bad.
 - 1) Its a mechanical technique (low human error).
 - Possible static and sample damage
 - 2) Seven figure micro balances are routinely available (although expensive!)
- The volume isn't measured its calculated, the length and diameters are measured.
- Errors are a function of
 - Measurement accuracy
 - Operator error
 - Initial size
 - Surface /internal defects



Density as a function of mass for a 2mm diameter by 2.8mm long foam cylinder





If you under measure by 9 microns your density goes up by 2 mg/cc and vice versa (assuming accurate mass)




Putting it all together





Moisture analysis

The issue

- Aerogels and foams in general are highly porous low density materials capable of holding large amounts of moisture.
- This amount is dependent of the local environment and the surface nature of the material.
- For silica derived through the CO₂ exchange route this can prove quite a challenge.







Moisture analysis (large dry sample)





Moisture analysis (Daily fluctuation)

- 1g sample in the laboratory environment.
- Daily fluctuation



Correlation with relative humidity



Sample: Sample Received by TA Instrument Size: 7.3335 mg Method: Hydro Gel

TGA

File: D:...\PROJECTS\AWE\Bazin\Hydrogel1.001 Operator: Paul Run Date: 05-Feb-2007 21:27 Instrument: TGA Q5000 V3.1 Build 246





Vacuum assessment of moisture

- Targets will be placed in a chamber, evacuated for a period of time and then the laser is fired.
- Issues of interest.
 - How much moisture is on the target
 - a) before entering the chamber,
 - b) during evacuation and
 - c) how much remains after evacuation.







Other methods of determining Density

- Xray transmission/absorption (See Talk tomorrow)
- Displacement (for volume)
 - Good for irregular objects.



Summary, conclusion, acknowledgments and questions.

- You only make what you measure. If you can't measure it you haven't made it.
- Foams present many challenges due to both their chemical and physical composition.
- Many thanks to the materials team at AWE:
 - Tina Jewell, Cheryl Macqueen, Paul Simmonds and Doug Faith*.
- More foams and characterisation tomorrow at 11am.



POSTERS COME AND SEE US (Sav, Steve, Marc, Maria, Colin & Wigen) FOR A FEW BEERS & A CONVIVIAL CHAT!















